

STATE OF DELAWARE
SINGLE POINT OF CONTACT – SPOC
INTERGOVERNMENTAL REVIEW OF FEDERAL PROGRAMS
Office of Management and Budget
Haslet Building, 3rd Floor, Dover, Delaware 19901
(302) 739-4206

RECEIVED
OFFICE OF MANAGEMENT AND BUDGET

1. STATE APPLICATION IDENTIFIER:

S9071704

SPOC use ONLY

2009 JUL 20 AM 9 27

Month

Reviewer

CC's

CN

2. Applicant Project Title: **Delaware's Sustainable Energy Utility Energy Efficient Appliance Rebate Program**

3. Applicant Department: **Department of Natural Resources & Environmental Control**

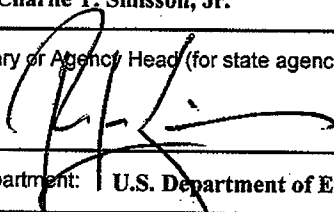
4. Applicant Division/APU: **Delaware Energy Office**

5. Applicant Address: **1203 College Park Drive, Suite 101, Dover, DE 19904**

6. Contact Person: **Charlie T. Smisson, Jr.**

7. Contact Person's Phone Number: **(302) 735-3480**

8. Signature of Secretary or Agency Head (for state agencies) or Chief Administrator (for all other applicants)



7/20/2009

9. Federal Grantor Department: **U.S. Department of Energy**

10. Federal Sub-Agency: **Idaho Field Office**

11. Federal Contact Person: **Jeffrey C. Fogg**

12. Phone Number: **208-526-4958**

13. Address: **foggjc@id.doe.gov**

14. Federal Program Title:

15. FEDERAL CATALOG NO:
(CFDA)

State Energy Efficient Appliance Rebate Program (SEERP)

81

127

16. Project Description:

The Recovery Act provides \$296M to implement Section 124 of the Energy Policy Act of 2005 (EPAct) 2005) establishing DOE support for States rebate programs for residential ENERGY STAR appliance products (those that represent improvements in efficiency compared to the majority of products in the market). States will receive formula-based funding to establish or supplement established ENERGY STAR appliance rebate programs. Federal funds, awarded from this FOA may be used to pay up to 50% of the administrative costs to carry out the rebate program.

17. Will funds be utilized for any technology initiatives? ☐ Yes ☒ No If so, Business Case Number and brief project summary:

18. Measurable Objectives:

a. What were last year's objectives?

N/A – New Grant

b. Were these objectives met? (If not, please explain why)

N/A

c. What are this year's objectives?

Save energy by encouraging appliance replacement through consumer rebates; Make rebates available to consumers; Enhance existing rebate programs by leveraging ENERGY STAR national partner relationships and local program infrastructure; Keep administrative costs low while adhering to monitoring and evaluation requirements

(If more space is needed, please attach a separate sheet of paper)

19. Grant Period: From: 11/01/09 To: 10/31/12		20. How many years has this project been funded: 0 years		21. If the project was funded last year, how much federal money was awarded? \$ 0	
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22. Source of funding for this application:	Dollars	Budget Code
a. Federal grant	\$838,000	
b. Other federal funds (Specify)		
c. Required state contribution		
d. Discretionary state contribution		
e. Required local contribution		
f. Other non- federal funds (Specify)		
TOTAL	\$838,000	

23. Budget by cost category and source::	Federal Funds	State Funds	Other Funds	Total Funds
Salaries & Fringe Benefits				
Personal or Contractual Services	\$835,486			\$835,486
Travel				
Supplies & Materials				
Capital Expenditures				
Audit Fees	\$ 2,514			\$ 2,514
Indirect Costs:				
Other				
TOTAL	\$838,000			\$838,000

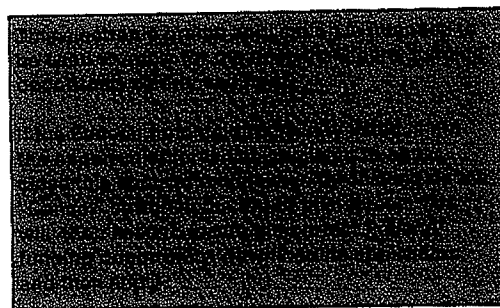
24. How many positions are required for the project?			
Breakdown of position(s)	Authorized in State Budget	New Positions Required	Total
Paid for out of federal funds	0	0	0
Paid for out of General Funds	0	0	0
Paid for out of state special funds	0	0	0
Paid for out of bond/local/other funds			
TOTAL	0	0	0

25. PLEASE NOTE: On a separate piece of paper, please give position number, grade, yearly salary and percent of funding (federal, state, local, other) and the full-time equivalent for all positions required. Please identify the new positions by placing an asterisk before the position title. If this grant funds positions within other departments, divisions and/or offices, please list them.	
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SPOC ITEM # 25

<u>Position Title</u>	<u>Position Number</u>	<u>Pay Grade</u>	<u>Yearly Salary</u>	<u>Federal FTE</u>	<u>State FTE</u>
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Opportunity Title:	Recovery: State Energy Efficient Appliance Rebate Progr
Offering Agency:	Idaho Field Office
CFDA Number:	81.127
CFDA Description:	Energy Efficient Appliance Rebate Program
Opportunity Number:	DE-FOA-0000119
Competition ID:	
Opportunity Open Date:	07/14/2009
Opportunity Close Date:	08/15/2009
Agency Contact:	Jeff Fogg Contract Specialist E-mail: foggjc@id.doe.gov Phone: 208-526-4958



This opportunity is only open to organizations, applicants who are submitting grant applications on behalf of a company, state, local or tribal government, academia, or other type of organization.

* Application Filing Name: Delaware Appliance Rebate Application

Mandatory Documents

Move Form to
Complete

Move Form to
Delete

Mandatory Documents for Submission

Application for Rebate
 Project/Performance Site Location(s)
 Other Attachments Form

Optional Documents

Disclosure of Lobbying Activities (SF-LLL)

Move Form to
Submission List

Move Form to
Delete

Optional Documents for Submission

1 Enter a name for the application in the Application Filing Name field.

- This application can be completed in its entirety offline; however, you will need to login to the Grants.gov website during the submission process.
- You can save your application at any time by clicking the "Save" button at the top of your screen.
- The "Save & Submit" button will not be functional until all required data fields in the application are completed and you clicked on the "Check Package for Errors" button and confirmed all data required data fields are completed.

2 Open and complete all of the documents listed in the "Mandatory Documents" box. Complete the SF-424 form first.

- It is recommended that the SF-424 form be the first form completed for the application package. Data entered on the SF-424 will populate data fields in other mandatory and optional forms and the user cannot enter data in these fields.
- The forms listed in the "Mandatory Documents" box and "Optional Documents" may be predefined forms, such as SF-424, forms where a document needs to be attached, such as the Project Narrative or a combination of both. "Mandatory Documents" are required for this application. "Optional Documents" can be used to provide additional support for this application or may be required for specific types of grant activity. Reference the application package instructions for more information regarding "Optional Documents".
- To open and complete a form, simply click on the form's name to select the item and then click on the => button. This will move the document to the appropriate "Documents for Submission" box and the form will be automatically added to your application package. To view the form, scroll down the screen or select the form name and click on the "Open Form" button to begin completing the required data fields. To remove a form/document from the "Documents for Submission" box, click the document name to select it, and then click the <= button. This will return the form/document to the "Mandatory Documents" or "Optional Documents" box.
- All documents listed in the "Mandatory Documents" box must be moved to the "Mandatory Documents for Submission" box. When you open a required form, the fields which must be completed are highlighted in yellow with a red border. Optional fields and completed fields are displayed in white. If you enter invalid or incomplete information in a field, you will receive an error message.

3 Click the "Save & Submit" button to submit your application to Grants.gov.

- Once you have properly completed all required documents and attached any required or optional documentation, save the completed application by clicking on the "Save" button.
- Click on the "Check Package for Errors" button to ensure that you have completed all required data fields. Correct any errors or if none are found, save the application package.
- The "Save & Submit" button will become active; click on the "Save & Submit" button to begin the application submission process.
- You will be taken to the applicant login page to enter your Grants.gov username and password. Follow all onscreen instructions for submission.

Version 02

Application for Federal Assistance SF-424

* 1. Type of Submission:

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

* 2. Type of Application:

- ☒ New
☐ Continuation
☐ Revision

* If Revision, select appropriate letter(s):

* Other (Specify)

* 3. Date Received:

Completed by Grants.gov upon submission.

4. Applicant Identifier:

5a. Federal Entity Identifier:

* 5b. Federal Award Identifier:

State Use Only:

6. Date Received by State: 07/23/2009

7. State Application Identifier: 59 07 17 04

8. APPLICANT INFORMATION:

* a. Legal Name: Delaware Energy Office

* b. Employer/Taxpayer Identification Number (EIN/TIN):

51-6000279

* c. Organizational DUNS:

809855091

d. Address:

* Street1: 1203 College Park Drive

Street2: Suite 101

* City: Dover

County: Kent

* State: DE: Delaware

Province:

* Country: USA: UNITED STATES

* Zip / Postal Code: 19904

e. Organizational Unit:

Department Name:

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mr.

* First Name: Charlie

Middle Name: Thomas

* Last Name: Smisson

Suffix: Jr.

Title: State Energy Coordinator

Organizational Affiliation:

Delaware Energy Office

* Telephone Number: 302-735-3480

Fax Number: 302-739-1840

* Email: Charlie.Smisson@state.de.us

Version 02

Application for Federal Assistance SF-424

9. Type of Applicant 1: Select Applicant Type:

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

Idaho Field Office

11. Catalog of Federal Domestic Assistance Number:

81.127

CFDA Title:

Energy Efficient Appliance Rebate Program

* 12. Funding Opportunity Number:

DE-FOA-0000119

* Title:

Recovery: State Energy Efficient Appliance Rebate Program (SEEARP)

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Delaware at Large

* 15. Descriptive Title of Applicant's Project:

Delaware Sustainable Energy Utility Energy Efficient Appliance Rebate Program

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Version 02

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="838,000.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="838,000.00"/>

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- ☒ a. This application was made available to the State under the Executive Order 12372 Process for review on
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☐ c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)

☐ Yes ☒ No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * Date Signed:

Project/Performance Site Location(s)

Project/Performance Site Primary Location ☐ I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name: Delaware Energy Office

DUNS Number: 8098550910000

* Street1: 1203 College Park Drive

Street2: Suite 101

* City: Dover

County: Kent

* State: DE: Delaware

Province:

* Country: USA: UNITED STATES

* ZIP / Postal Code: 19904-8703

* Project/ Performance Site Congressional District: DE All

Project/Performance Site Location 1

☐ I am submitting an application as an individual, and not on behalf of a company, state, local or tribal government, academia, or other type of organization.

Organization Name:

DUNS Number:

* Street1:

Street2:

* City:

County:

* State:

Province:

* Country: USA: UNITED STATES

* ZIP / Postal Code:

* Project/ Performance Site Congressional District:

ARRA Energy Efficient Appliance Rebate Program
Grant Amount = \$838,000

The Recovery Act provides \$296M nationwide to implement Section 124 of the Energy Policy Act of 2005 (EPAct) 2005) establishing DOE support for States rebate programs for residential ENERGY STAR appliance products (those that represent improvements in efficiency compared to the majority of products in the market). States will receive formula-based funding to establish or supplement established ENERGY STAR appliance rebate programs.

The Appliance Rebate Program Objectives (as defined by US DOE) are:

- Save energy by encouraging appliance replacement through consumer rebates
- Make rebates available to consumers
- Enhance existing rebate programs by leveraging ENERGY STAR national partner relationships and local program infrastructure
- Keep administrative costs low while adhering to monitoring and evaluation requirements
- Promote state and national tracking and accountability
- Use existing ENERGY STAR consumer education and outreach materials

The Delaware Sustainable Energy Utility (SEU) is nearing completion of the planning process to launch an energy efficiency appliance rebate program and it is the SEU's intent to adapt the program design to comply with the ARRA funded grant program announced on June 14, 2009. A summary of the program in its current form is included below. The list of appliances addressed may need to be adjusted based on the ARRA program requirements.

SEU current plans propose to launch an EnergyStar appliance rebate program for retail consumer appliances. The program will be established to provide prescriptive rebates for specific products with pre-defined energy savings. This includes products such as refrigerators, clothes washers, dishwashers and room air conditioners. It does not currently include appliances that must be installed by qualified contractors such as central air conditioners and furnaces but these may be added in the future.

The SEU developed a preliminary appliance saturation survey to support this program, and has provided a recommended list of appliances that would be eligible for rebates in the table below. For each appliance, the SEU has included estimated participation, energy savings, and proposed rebates. A participation rate of approximately 20% has been assumed.

Energy Star Appliance Purchase Program Estimated Participation, Energy Savings and Rebates						
	New Units Purchased Annually	Program Participants	Per Unit kWh Energy Savings	Total kWh Savings	Rebate per Unit	Total Rebates
			75.0	150,000	\$ 10	\$ 20,000
Energy Star CFL Fixtures	10,000	2,000	25.9	129,400	\$ 75	\$ 375,000
Clothes Washer	25,000	5,000	213.0	213,000	\$ 30	\$ 30,000
Dehumidifier	5,000	1,000	96.0	364,800	\$ 50	\$ 190,000
Room A/C	19,000	3,800	268.1	268,050	\$ 25	\$ 25,000
Room Air Cleaner	5,000	1,000	84.0	319,048	\$ 100	\$ 380,000
Refrigerator	19,000	3,800	134.0	67,011	\$ 50	\$ 25,000
Televisions	2,500	500				
Total	85,500	17,100		1,511,309		\$ 1,045,000

The list of appliances has not yet been finalized nor has the specific amounts of appliances or the savings per unit or rebate amounts.

The SEU's intent is to hire an implementation contractor to administer this program in accordance with the SEU design model. The selection process is currently underway.

The Delaware Energy Office (DEO) and SEU target timeline is to launch this program by the beginning of the third quarter of 2009 which would precede the award of the ARRA Appliance Rebate grant. It would be our intent to adjust the program as required once the grant is awarded by US DOE. DEO will contract with the SEU to utilize these grant dollars in this established program once the award is made to the State of Delaware. This grant will supplement already existing funding for this program and allow for its significant expansion during the proposed grant period.

DEPARTMENT OF NATURAL RESOURCES AND ENVIRONMENTAL CONTROL

**Certification of Consistency with the Delaware Coastal Management Program
Request for Federal Grant-In-Aid**

State Clearing House #

S9 07 17 04

Project Title:

DE Sustainable Energy Utility Energy Efficient Appliance Rebate

I hereby certify that the above application for federal aid is consistent with the goals and policies of the Delaware Coastal Management Program as approved and amended by the Office of Coastal Zone Management in September, 1979.



Sarah W. Cooksey
Administrator

7-20-09

Date